

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK**

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SECURITIES AND EXCHANGE COMMISSION, :

Plaintiff, :

-v- :

PLATINUM MANAGEMENT (NY) LLC; :
PLATINUM CREDIT MANAGEMENT, L.P.; :
MARK NORDLICHT; :
DAVID LEVY; :
DANIEL SMALL; :
URI LANDESMAN; :
JOSEPH MANN; :
JOSEPH SANFILIPPO; and :
JEFFREY SHULSE, :

No. 16-CV-6848 (BMC)

Defendants. :

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NOTICE OF RECEIVER'S CLAIMS ANALYSIS REPORT

**PLEASE READ THIS NOTICE AND THE ATTACHMENTS HERETO
CAREFULLY AS YOUR SUBSTANTIVE RIGHTS MAY BE AFFECTED BY THIS
NOTICE.**

**IF YOU HAVE FILED A PROOF OF CLAIM IN THE ABOVE-CAPTIONED CASE,
YOU SHOULD LOCATE YOUR NAME AND YOUR CLAIM(S) IN THE
ATTACHMENTS HERETO.**

PLEASE TAKE NOTICE that pursuant to the Court’s December 1, 2020 *Order Establishing the Receiver’s Claims and Interests Reconciliation and Verification Procedures* [Dkt. 554] (the “**Verification Order**”), the Court authorized Melanie L. Cyganowski, as Receiver (the “**Receiver**”) for the Receivership Entities,¹ to file and serve a Claims Analysis Report setting forth the Receiver’s determinations with respect to each Filed Claim.²

PLEASE TAKE FURTHER NOTICE that attached to this Notice are schedules that comprise the Receiver’s Claims Analysis Report, setting forth the Receiver’s determinations with respect to each Filed Claim, including the allowed amount of the Claim, if any, the Receivership Entity the Claim is allowed against, and whether the Claim is secured by property of a Receivership Entity.

PLEASE TAKE FURTHER NOTICE that if you have not timely submitted a Filed Claim pursuant to the Bar Date Orders, you will not be identified in the attached schedules, and are not entitled to receive a distribution under a plan of distribution.

PLEASE TAKE FURTHER NOTICE that if you hold an Interest in a Receivership Entity, you will not be identified in the attached schedules, but will receive an Investor Statement in accordance with the Verification Order.

PLEASE TAKE FURTHER NOTICE that the Receiver has determined it appropriate to categorize Filed Claims so that certain Claims are grouped together for purposes of this Notice. In particular, the Receiver has categorized each Filed Claim into one of two broad categories: (1) Claims against PPCO Funds and non-Receivership Entities; or (2) Claims against PPLO Funds. Within each of these two broad categories, for purposes of this Notice, the Receiver has further categorized Claims into the below categories, which are each set forth in a separate schedule attached to this Notice. Additionally, attached as **Schedule A** is a key of the entities that Filed Claims were asserted against in the Receivership.

The Receiver has categorized Filed Claims into the following categories:

1. **Schedule B: PPCO Allowed Claims.** These Claims are Claims that the Receiver has determined to allow or allow in-part against PPCO.
2. **Schedule C: PPCO Insider Indemnification Claims.** These Claims are Claims asserted against PPCO Funds for indemnification of insiders of certain of the Receivership Entities that the Receiver has determined to allow in-part against PPCO or disallow.

¹ The “**Receivership Entities**” are Platinum Credit Management, L.P., Platinum Partners Credit Opportunities Master Fund L.P., Platinum Partners Credit Opportunities Fund (TE) LLC, Platinum Partners Credit Opportunities Fund LLC, Platinum Partners Credit Opportunity Fund (BL) LLC, Platinum Liquid Opportunity Management (NY) LLC, Platinum Partners Liquid Opportunity Fund (USA) L.P., Platinum Partners Liquid Opportunity Master Fund L.P., Platinum Partners Credit Opportunities Fund International Ltd., and Platinum Partners Credit Opportunities Fund International (A) Ltd. Platinum Partners Credit Opportunities Master Fund L.P. is referred to herein as “**PPCO**” and it and its feeder funds are referred to herein as “**PPCO Funds**”. Platinum Partners Liquid Opportunity Master Fund L.P. is referred to herein as “**PPLO**” and it and its feeder funds are referred to herein as “**PPLO Funds**”.

² Capitalized terms used, but not defined, herein shall have the meaning ascribed in the Verification Order.

3. **Schedule D: PPCO Employee Claims.** These Claims are Claims of alleged employees of certain of the Receivership Entities that the Receiver has determined to allow or allow in-part against PPCO, or disallow.
4. **Schedule E: PPCO Denied Claims.** These Claims are Claims asserted against PPCO Funds that the Receiver has determined to disallow. In addition to Claims asserted against PPCO Funds, this schedule includes Claims filed against non-Receivership Entities that the Receiver has determined to disallow.
5. **Schedule F: PPCO Settled Claims.** These Claims are Claims that the Receiver has settled with the applicable Claimant in connection with PPCO Funds.
6. **Schedule G: PPLO Allowed Claims.** These Claims are Claims asserted against PPLO Funds that the Receiver has determined to allow or allow in-part against PPLO.
7. **Schedule H: PPLO Insider Indemnification Claims.** These Claims are Claims asserted against PPLO Funds for indemnification of insiders of certain of the Receivership Entities that the Receiver has determined to allow in-part against PPLO or disallow.
8. **Schedule I: PPLO Employee Claims.** These Claims are Claims of alleged employees of certain of the Receivership Entities that the Receiver has determined to allow or allow in-part against PPLO, or disallow.
9. **Schedule J: PPLO Denied Claims.** These Claims are Claims asserted against PPLO Funds that the Receiver has determined to disallow.
10. **Schedule K: PPLO Settled Claims.** These Claims are Claims that the Receiver has settled with the applicable Claimant in connection with PPLO Funds.

PLEASE TAKE FURTHER NOTICE that if you have filed multiple Claims, the Receiver may have categorized certain of your Claims among different schedules.

PLEASE TAKE FURTHER NOTICE that within each schedule, Claims are listed alphabetically by Claimant and contain a cross-reference to the corresponding assigned claim number.

PLEASE TAKE FURTHER NOTICE that where applicable, each schedule includes the Receiver's basis for the Receiver's determinations regarding each Claim listed in the schedule.

PLEASE TAKE FURTHER NOTICE THAT THE AMOUNTS REFLECTED IN THE ATTACHED SCHEDULES DO NOT REPRESENT THE AMOUNT YOU WILL RECEIVE ON ACCOUNT OF YOUR CLAIM(S), IF ANY. THE EVENTUAL DISTRIBUTION OF FUNDS WILL BE SUBJECT TO THE RECEIVERSHIP'S TOTAL ASSETS AVAILABLE FOR DISTRIBUTION AFTER THE COURT APPROVES A DISTRIBUTION PLAN.

PLEASE TAKE FURTHER NOTICE THAT THE INFORMATION IN THE ATTACHED SCHEDULES, INCLUDING THE CATEGORIZATION OF A CLAIM, IS NOT NECESSARILY INDICATIVE OF THE TREATMENT OF A CLAIM UNDER A PLAN OF DISTRIBUTION.

PLEASE TAKE FURTHER NOTICE that if you disagree with the Receiver's determination of your Claim(s), as set forth in the attached schedules, you, or your counsel, may serve on the Receiver any objection you may have to the analysis of your Claim(s), but you may not object to the Receiver's determinations of other Claimants' Claims.

PLEASE TAKE FURTHER NOTICE that any Objections to the Claims Analysis Report shall (i) be in writing, (ii) state your name and address, the name and address of your counsel, if any, the assigned claim number, and the nature of your Filed Claim(s), (iii) state with particularity the basis and nature of all objections, and (iv) be electronically mailed to the Receiver, at her email address, platinumreceiver@otterbourg.com, so as to be **actually received not later than 45 days after the date of this Notice**.

PLEASE TAKE FURTHER NOTICE that Objections should not be filed with the Court.

PLEASE TAKE FURTHER NOTICE that if you fail to object to the Receiver's determinations as to your Claim(s) as set forth in the attached schedules, then you will be permanently precluded from objecting to the information in the schedules and the Receiver's determinations set forth in the schedules shall be final and binding. The information in the schedules will be used to calculate the distribution that you may receive pursuant to any approved plan of distribution.

PLEASE TAKE FURTHER NOTICE that if you, or your counsel, timely submit an Objection, a representative of the Receiver will contact you or your counsel to attempt to resolve your Objection in accordance with the Verification Order, which may include, with your consent, submitting the dispute to mediation.

PLEASE TAKE FURTHER NOTICE that any disputes concerning your Claim(s) that cannot be resolved by agreement between you and the Receiver will be adjudicated by the Court in a summary proceeding, commenced by the Receiver in which you will have an opportunity to be heard before the Court.

PLEASE TAKE FURTHER NOTICE that the Receiver reserves the right to propose a plan of distribution that provides for different classes of Claims and Interests and treats each in any manner the Receiver determines to be equitable, including the subordination of certain class or classes of Claims or Interests and the method of calculating distributions to Investors and Claimants. Investors, Claimants, and other parties in interest will have the opportunity to object to the plan of distribution and any of its provisions, including the distribution methodology and treatment of Interests and Claims, in connection with the requested approval of the plan of distribution.

PLEASE TAKE FURTHER NOTICE that you may access additional information about your Claim(s) on the Receiver's website at <https://dm.epiq11.com/case/ptm/claims>.

Dated: New York, New York
March 9, 2021

OTTERBOURG P.C.

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Schedule A

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
 SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

Key of Entities Claims Asserted Against

1 of 1

<u>Entity</u>	<u>Key</u>	<u>Receivership Entity?</u>
Platinum Liquid Opportunity Management (NY) LLC	PLOM	Yes
Platinum Partners Credit Opportunities Fund (TE) LLC	PPCO(TE)	Yes
Platinum Partners Credit Opportunities Fund International (A) Ltd.	PPCOFI(A)	Yes
Platinum Partners Credit Opportunities Fund International Ltd.	PPCOFI	Yes
Platinum Partners Credit Opportunities Fund LLC	PPCOF	Yes
Platinum Partners Credit Opportunities Master Fund L.P.	PPCOMF	Yes
Platinum Partners Credit Opportunity Fund (BL) LLC	PPCO(BL)	Yes
Platinum Partners Liquid Opportunity Fund (USA) L.P.	PPLO(USA)	Yes
Platinum Partners Liquid Opportunity Master Fund L.P.	PPLOMF	Yes
Platinum Credit Management, L.P.	PCM	Yes
Platinum Credit Resources LLC	PCR	No
West Ventures, LLC	WV	No
Alpha Credit Resources, LLC	ACR	No
Credit Funding, LLC	CF	No
Platinum Management (NY) LLC	PMNY	No
Platinum Partners	PP	No
Platinum Partners Credit Mgmt. LP	PPCM	No
Maximillian Resources	MR	No
Platinum Partners Value Arbitrage Fund, L.P.	PPVA	No
Platinum Partners Value Arbitrage Fund (USA), L.P.	PPVA(USA)	No
Platinum Partners Value Arbitrage Intermediate Fund, Ltd.	PPVA Intermediate	No
Platinum Partners Value Arbitrage Fund International, Ltd	PPVAI	No
Credit Strategies, LLC	CS	No
Platinum Long Term Growth VIII LLC	PLTG	No
LC Energy Holdings, LLC	LCEH	No

Schedule B

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
 SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

PPCO Allowed Claims
(allowed or allowed in-part)

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed - Secured or Unsecured</u>	<u>Basis for Partial Allowance</u>
27	Anderson Larson Saunders and Klassen PLLP	PCM	\$ 19,455.50	\$ 19,455.50	PPCOMF	Unsecured	Unsecured	N/A
97	Benesch, Friedlander, Coplan & Aronoff LLP	PPCOMF	\$ 29,981.00	\$ 29,981.00	PPCOMF	Unsecured	Unsecured	N/A
215	Brennan, Manna, Diamond, LLC	PCM	\$ 78,783.00	\$ 78,783.00	PPCOMF	Unsecured	Unsecured	N/A
260	Curtis, Mallet-Prevost, Colt & Mosle LLP	PCM	\$ 341,012.43	\$ 341,012.43	PPCOMF	Secured	Unsecured	Claim not secured by property of a Receivership Entity
308	Dechert LLP	PCM	\$ 73,568.56	\$ 73,568.56	PPCOMF	Unsecured	Unsecured	N/A
17	Duane Morris LLP	PCR	\$ 17,110.58	\$ 17,110.58	PPCOMF	Unsecured	Unsecured	N/A
11	Ganfer & Shore, LLP	PPCOF	\$ 135,048.00	\$ 135,048.00	PPCOMF	Unsecured	Unsecured	N/A
118	Guidepost Solutions LLC	PPCOMF	\$ 274,428.57	\$ 94,273.94	PPCOMF	Unsecured	Unsecured	Allowed amount represents PPCO estimated share of allowed claim
32	Kroger, Gardis & Regas, LLP	PPCOMF	\$ 56,768.67	\$ 56,768.67	PPCOMF	Secured	Unsecured	Claim not secured by property of a Receivership Entity
9	Law Firm of Robert A Weiner	PPCOMF	\$ 16,737.00	\$ 16,737.00	PPCOMF	Unsecured	Unsecured	N/A
175	Law Offices of Stephen B. O'Connell, PLLC	PPCOMF	\$ 70,959.69	\$ 70,959.69	PPCOMF	Unsecured	Unsecured	N/A
8	Maslon LLP	WV	\$ 149,074.00	\$ 149,074.00	PPCOMF	Secured	Unsecured	Claim not secured by property of a Receivership Entity
33	Morrison Cohen LLP	PPCOMF	\$ 19,442.70	\$ 19,442.70	PPCOMF	Unsecured	Unsecured	N/A
31	Ogier	PCM	\$ 47,670.64	\$ 32,909.25	PPCOMF	Unsecured	Unsecured	Allowed amount represents PPCO estimated share of allowed claim
26	State of California Franchise Tax Board	PPCOF	\$ 1,074.65	\$ 1,074.65	PPCOMF	Unsecured	Unsecured	N/A
36	State of California Franchise Tax Board	PPCOF	\$ 1,077.60	\$ 1,077.60	PPCOMF	Unsecured	Unsecured	N/A
20	The Bank of New York Mellon	PPCOMF, ACR	\$ 50,158.00	\$ 50,158.00	PPCOMF	Secured	Unsecured	Claim not secured by property of a Receivership Entity

Schedule C

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
 SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

PPCO Insider Indemnification Claims
(allowed in-part or disallowed)

1 of 3

<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
228	Daniel Small	CF	\$ 2,861,533.15	\$ 0	N/A	Unsecured	N/A	Claim against non-Receivership entity; Duplicate of partially allowed claim (Claim 230)
230	Daniel Small	PPCOMF	\$ 2,861,533.15	\$ 983,017.17	PPCOMF	Unsecured	Unsecured	PPCO estimated share of asserted claim
282	David Levy	PCM	\$ 8,700,000.00	\$ 0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 288)
283	David Levy	PPCO(BL)	\$ 8,700,000.00	\$ 0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 288)
284	David Levy	PPCOF	\$ 8,700,000.00	\$ 0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 288)
285	David Levy	PPCO(TE)	\$ 8,700,000.00	\$ 0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 288)
286	David Levy	PPCOFI(A)	\$ 8,700,000.00	\$ 0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 288)
287	David Levy	PPCOFI	\$ 8,700,000.00	\$ 0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 288)
288	David Levy	PPCOMF	\$ 8,700,000.00	\$ 2,988,694.84	PPCOMF	Unsecured	Unsecured	PPCO estimated share of asserted claim
156	Ford O'Brien LLP	PCM, PMNY	\$ 2,686,426.31	\$ 0	N/A	Unsecured	N/A	Duplicate of partially Allowed Claim (Claim 329)
329	Ford O'Brien LLP	PCM, PMNY	\$ 2,686,426.31	\$ 922,863.04	PPCOMF	Unsecured	Unsecured	PPCO estimated share of asserted Claim
330	Ford O'Brien LLP	PCM, PMNY	\$ 2,686,426.31	\$ 0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 329)
251	Gilad Kalter	PCM	\$ 128,569.92	\$ 44,167.39	PPCOMF	Unsecured	Unsecured	PPCO estimated share of asserted claim

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

PPCO Insider Indemnification Claims
(allowed in-part or disallowed)

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
280	Gilad Kalter	PCM	\$ 128,569.92	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 251)
188	Joe Mann	PCM	\$ 143,145.05	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 207)
190	Joe Mann	PPCO(BL)	\$ 143,145.05	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 207)
192	Joe Mann	PPCOF	\$ 143,145.05	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 207)
201	Joe Mann	PPCO(TE)	\$ 143,145.05	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 207)
203	Joe Mann	PPCOFI(A)	\$ 143,145.05	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 207)
205	Joe Mann	PPCOFI	\$ 143,145.05	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 207)
207	Joe Mann	PPCOMF	\$ 143,145.05	\$ 49,174.35	PPCOMF	Unsecured	Unsecured	PPCO estimated share of asserted claim
305	Mark Nordlicht	PLOM, PPCO(TE), PPCOFI(A), PPCOFI, PPCOF, PPCOMF, PPCO(BL), PPLO(USA), PPLOMF, PCM	\$ 10,000,000.00	\$0	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
313	Wilson Sonsini Goodrich & Rosati	PCM	\$ 8,700,000.00	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 288)
315	Wilson Sonsini Goodrich & Rosati	PPCO(BL)	\$ 8,700,000.00	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 288)
316	Wilson Sonsini Goodrich & Rosati	PPCOF	\$ 8,700,000.00	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 288)

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
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PPCO Insider Indemnification Claims
(allowed in-part or disallowed)

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
317	Wilson Sonsini Goodrich & Rosati	PPCOFI(A)	\$ 8,700,000.00	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 288)
318	Wilson Sonsini Goodrich & Rosati	PPCOFI	\$ 8,700,000.00	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 288)
319	Wilson Sonsini Goodrich & Rosati	PPCOMF	\$ 8,700,000.00	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 288)
320	Wilson Sonsini Goodrich & Rosati	PPCO(TE)	\$ 8,700,000.00	\$0	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 288)

Schedule D

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

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PPCO Employee Claims
(allowed, allowed in-part, or disallowed)

<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
5	Abigail Baez	PCM	\$ 37,500.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 259)
259	Abigail Baez	PP	\$ 37,500.00	\$ 12,500.00	PPCOMF	Unsecured	Unsecured	No liability for 2016 asserted bonus. Allowed Amount represents PPCO estimated share of 2015 asserted bonus
24	Daniel Small	PPCOMF	\$ 130,000.00	\$ -	N/A	Unsecured	N/A	No liability - No amounts owed
227	Daniel Small	CF	\$ 130,000.00	\$ -	N/A	Unsecured	N/A	No liability - No amounts owed
262	David Bodner	PCM	\$ 406,606.17	\$ -	N/A	Unsecured	N/A	No liability - No amounts owed
264	David Bodner	PPCOMF	\$ 406,606.17	\$ -	N/A	Unsecured	N/A	No liability - No amounts owed
292	David Levy	PCM	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
294	David Levy	PPCO(BL)	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
295	David Levy	PPCO(TE)	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
296	David Levy	PPCOF	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
297	David Levy	PPCOFI(A)	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
298	David Levy	PPCOFI	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
299	David Levy	PPCOMF	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
265	David Lichtman	PPCOMF	\$ 50,000.00	\$ 50,000.00	PPCOMF	Unsecured	Unsecured	No objection
306	David Steinberg	PPCOMF	\$ 2,520,000.00	\$ -	N/A	Secured	N/A	No liability
14	David Stern	PCM, PPCOMF	\$ 476,666.67	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 35)
35	David Stern	PCM, PPCOMF	\$ 476,666.67	\$ 100,000.00	PPCOMF	Unsecured	Unsecured	No liability for 2016 asserted bonus. Allowed Amount represents PPCO estimated share of 2015 asserted bonus
12	George J Duch	PCM, PMNY	\$ 180,000.00	\$ -	N/A	Unsecured	N/A	Claim amended by Claim Nos. 56 (see PPLO Employee Claim Chart), 57 and 58
57	George J Duch	PMNY	\$ 90,000.00	\$ -	N/A	Unsecured	N/A	Claim against non-Receivership entity; No liability of Receivership Entity
58	George J Duch	PCM	\$ 18,000.00	\$ -	N/A	Unsecured	N/A	No liability for asserted claim for 2016 bonus. Not an employee of Receivership Entity prior to 2016

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
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PPCO Employee Claims
(allowed, allowed in-part, or disallowed)

<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
252	Gilad Kalter	PPCOMF	\$ 1,340,593.00	\$ -	N/A	Unsecured	N/A	No liability
269	Gilad Kalter	PPCOMF	\$ 1,340,593.00	\$ -	N/A	Unsecured	N/A	No liability
96	Harvey Werblowsky	PPCOMF	\$ 300,000.00	\$ -	N/A	Unsecured	N/A	No liability
101	Harvey Werblowsky	PPCOMF	\$ 300,000.00	\$ -	N/A	Unsecured	N/A	No liability
98	Isaac Barber	PPCOMF	\$ 6,626,933.04	\$ -	N/A	Secured	N/A	No liability
99	Isaac Barber	PPCOMF	\$ 6,626,933.04	\$ -	N/A	Secured	N/A	No liability
174	Isaac Barber	PPCOMF	\$ 6,626,933.04	\$ -	N/A	Secured	N/A	No liability
13	Israel Adler	PCM	\$ 40,000.00	\$ 20,000.00	PPCOMF	Unsecured	Unsecured	No liability for 2016 asserted bonus. Allowed Amount represents PPCO estimated share of 2015 asserted bonus
95	Jack Simony	PPCOMF	\$ 300,000.00	\$ -	N/A	Unsecured	N/A	No liability
102	Jack Simony	PPCOMF	\$ 300,000.00	\$ -	N/A	Unsecured	N/A	No liability
187	Joe Mann	PCM	\$ 50,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
189	Joe Mann	PPCO(BL)	\$ 50,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
191	Joe Mann	PPCOF	\$ 50,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
200	Joe Mann	PPCO(TE)	\$ 50,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
202	Joe Mann	PPCOFI(A)	\$ 50,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
204	Joe Mann	PPCOFI	\$ 50,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
206	Joe Mann	PPCOMF	\$ 50,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
18	Joseph M Sanfilippo	PCM, PMNY	\$ 400,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
19	Joseph M Sanfilippo	PCM, PMNY	\$ 100,000.00	\$ -	N/A	Unsecured	N/A	No liability - Employed by non-Receivership entity for period in which claim is asserted
7	Joseph Ritterman	PCM	\$ 70,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of allowed claim (Claim 68)

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
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PPCO Employee Claims
(allowed, allowed in-part, or disallowed)

<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
68	Joseph Ritterman	PCM, PPCOMF	\$ 70,000.00	\$ 70,000.00	PPCOMF	Unsecured	Unsecured	No objection
28	Margaret Muller	PCM, PMNY	\$ 81,250.00	\$ -	N/A	Unsecured	N/A	No liability for asserted claim for 2016 bonus. Not an employee of Receivership Entity prior to 2016
3	Nicholas Marzella	PCM, PMNY	\$ 180,000.00	\$ -	N/A	Unsecured	N/A	No liability for asserted claim for 2016 bonus. Not an employee of Receivership Entity prior to 2016
324	Nicholas Marzella	PMNY	\$ -	\$ -	N/A	Unsecured	N/A	No amount asserted in claim. Not an employee of Receivership Entity prior to 2016
325	Nicholas Marzella	PCM	\$ 166,000.00	\$ -	N/A	Unsecured	N/A	No liability for asserted claim for 2016 bonus. Not an employee of Receivership Entity prior to 2016
4	Noemi Arroyo-Morales	PCM	\$ 65,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 30)
30	Noemi Arroyo-Morales	PCM	\$ 65,000.00	\$ 40,000.00	PPCOMF	Unsecured	Unsecured	No liability for 2016 asserted bonus. Allowed Amount represents PPCO estimated share of 2015 asserted bonus.
21	Robert Levy	PPCOMF, MR	\$ 2,450,482.00	\$ -	N/A	Unsecured	N/A	No liability
53	Robert Levy	PPCOMF	\$ 3,000,000.00	\$ -	N/A	Unsecured	N/A	No liability
52	Robert Levy	PPCOMF	\$ 1,831,326.00	\$ -	N/A	Unsecured	N/A	No liability
54	Robert Levy	PPVA	\$ 280,000.00	\$ -	N/A	Unsecured	N/A	Claim against non-Receivership entity; No liability
217	Samuel Salfati	PPVA	\$ 200,000.00	\$ -	N/A	Unsecured	N/A	Claim against non-Receivership entity; No liability
216	Samuel Salfati	PPCOMF, PPCOF, PPCO(TE), PPCO(BL),	\$ 525,000.00	\$ -	N/A	Unsecured	N/A	No liability
110	Suzanne Horowitz	PPCO(TE)	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
112	Suzanne Horowitz	PPCOFI	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
113	Suzanne Horowitz	PPCOFI(A)	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
114	Suzanne Horowitz	PPCOF	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
115	Suzanne Horowitz	PPCOMF	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
117	Suzanne Horowitz	PPCO(BL)	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
157	Suzanne Horowitz	PPCOFI	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)

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PPCO Employee Claims
(allowed, allowed in-part, or disallowed)

<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
158	Suzanne Horowitz	PPCO(BL)	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
160	Suzanne Horowitz	PPCO(TE)	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
161	Suzanne Horowitz	PPCOFI(A)	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
164	Suzanne Horowitz	PPCOF	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 165)
165	Suzanne Horowitz	PPCOMF	\$ 375,000.00	\$ 42,500.00	PPCOMF	Unsecured	Unsecured	No liability for 2016 asserted bonus. Allowed Amount represents PPCO estimated share of 2015 asserted bonus

Schedule E

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PPCO Denied Claims

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Basis of Objection</u>
236	Argonaut Insurance Company	PPCOMF	\$ 15,378,781.00	\$ -	No liability; Contingent and unliquidated claim
267	Ari Glass	PPVA	\$ 746,527.34	\$ -	Claim against non-Receivership entity; No liability
69	Atlantic Specialty Insurance Company	PCM	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties
71	Atlantic Specialty Insurance Company	PMNY	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
72	Atlantic Specialty Insurance Company	PPCO(TE)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties
73	Atlantic Specialty Insurance Company	PPCOF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties
74	Atlantic Specialty Insurance Company	PPCOMF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties
75	Atlantic Specialty Insurance Company	PPCOFI(A)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties
76	Atlantic Specialty Insurance Company	PPCOF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties
77	Atlantic Specialty Insurance Company	PMNY	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
79	Atlantic Specialty Insurance Company	PPVA(USA)	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
80	Atlantic Specialty Insurance Company	PPVA	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Basis of Objection</u>
81	Atlantic Specialty Insurance Company	PPVA Intermediate	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
82	Atlantic Specialty Insurance Company	PPVAI	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
83	Atlantic Specialty Insurance Company	PPCOFI(A)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
85	Atlantic Specialty Insurance Company	PPCO(TE)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
86	Atlantic Specialty Insurance Company	PPCOMF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
87	Atlantic Specialty Insurance Company	PPCO(BL)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
88	Atlantic Specialty Insurance Company	PPCOFI	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
92	Atlantic Specialty Insurance Company	PPCO(BL)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
93	Atlantic Specialty Insurance Company	PCM	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
94	Atlantic Specialty Insurance Company	PPCOFI	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
123	Atlantic Specialty Insurance Company	PPVAI	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
124	Atlantic Specialty Insurance Company	PPVA(USA)	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties

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PPCO Denied Claims

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Basis of Objection</u>
125	Atlantic Specialty Insurance Company	PPVA	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
126	Atlantic Specialty Insurance Company	PPVA Intermediate	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
145	BAM Administrative Services LLC, as Agent	PPCOMF	\$ 95,169,374.00	\$ -	Duplicative of settled claims. No liability for unsettled claims due to fraudulent conveyance.
136	Berkley Insurance Company	PPVA	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
137	Berkley Insurance Company	PPCO(BL)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; Duplicate Claim
138	Berkley Insurance Company	PPVAI	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
140	Berkley Insurance Company	PPCOF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
141	Berkley Insurance Company	PPVA Intermediate	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
142	Berkley Insurance Company	PPCOMF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
147	Berkley Insurance Company	PCM	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
149	Berkley Insurance Company	PMNY	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
150	Berkley Insurance Company	PPCO(BL)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Basis of Objection</u>
151	Berkley Insurance Company	PPCO(TE)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
152	Berkley Insurance Company	PPCOF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
153	Berkley Insurance Company	PPCOFI	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
154	Berkley Insurance Company	PPCOFI(A)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
155	Berkley Insurance Company	PPCOMF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
167	Berkley Insurance Company	PPCOFI(A)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
168	Berkley Insurance Company	PMNY	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
170	Berkley Insurance Company	PCM	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
171	Berkley Insurance Company	PPVA(USA)	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
172	Berkley Insurance Company	PPCO(TE)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
173	Berkley Insurance Company	PPCOFI	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
193	Berkley Insurance Company	PPVAI	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties

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PPCO Denied Claims

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Basis of Objection</u>
194	Berkley Insurance Company	PPVA	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
195	Berkley Insurance Company	PPVA(USA)	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
196	Berkley Insurance Company	PPVA Intermediate	\$ 5,000,000.00	\$ -	Claim against non-Receivership entity; No liability - Insurance proceeds paid to non-Receivership covered parties
23	Black Elk Management LLC	PCM, PPCOMF, PPCO(TE), PPCOF, PPCO(BL), PLOM, PPLO(USA), PPVA	\$ 4,633,887.00	\$ -	No liability - No valid basis for liability asserted
22	Brennan, Manna, Diamond, LLC	PCM	\$ 78,783.00	\$ -	Duplicate of allowed claim (Claim 215) (see PPCO Allowed Claims Chart)
261	Curtis, Mallet-Prevost, Colt & Mosle LLP	PPCOMF	\$ 341,012.43	\$ -	Duplicate of allowed claim (Claim 260) (see PPCO Allowed Claims Chart)
291	David Levy	PCM	\$ 765,000.00	\$ -	No liability - Loan to non-Receivership entity
250	Eleanor Fiduciary Services, LLC	PPCOMF	\$ 476,754.62	\$ -	No liability - Satisfied by third party; Unenforceable agreement against Receivership Entities
307	Eleanor Fiduciary Services, LLC	PPCOMF	\$ 476,754.62	\$ -	No liability - Satisfied by third party; Unenforceable agreement against Receivership Entities
209	George Levin and Gayla Sue on behalf of Pine Island Lot 9 Limited	PPCOMF, PPVA	\$ 380,000.00	\$ -	No liability - No valid basis for liability asserted
186	Gladden Properties LLC	PMNY	\$ 1,400,129.69	\$ -	Claim against non-Receivership entity; No liability of Receivership Entity
106	Guidepost Solutions LLC	PMNY	\$ 274,428.57	\$ -	Claim against non-Receivership entity; Duplicate of partially allowed claim (Claim 118) (see PPCO Allowed Claims Chart)

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Basis of Objection</u>
107	Guidepost Solutions LLC	PPCOFI	\$ 274,428.57	\$ -	Duplicate of partially allowed claim (Claim 118) (see PPCO Allowed Claims Chart)
108	Guidepost Solutions LLC	PPCOFI	\$ 274,428.57	\$ -	Duplicate of partially allowed claim (Claim 118) (see PPCO Allowed Claims Chart)
109	Guidepost Solutions LLC	PPCOF	\$ 274,428.57	\$ -	Duplicate of partially allowed claim (Claim 118) (see PPCO Allowed Claims Chart)
111	Guidepost Solutions LLC	PPCO(TE)	\$ 274,428.57	\$ -	Duplicate of partially allowed claim (Claim 118) (see PPCO Allowed Claims Chart)
116	Guidepost Solutions LLC	PPCO(BL)	\$ 274,428.57	\$ -	Duplicate of partially allowed claim (Claim 118) (see PPCO Allowed Claims Chart)
103	Guidepost Solutions LLC	PCM	\$ 274,428.57	\$ -	Duplicate of partially allowed claim (Claim 118) (see PPCO Allowed Claims Chart)
29	Jacob Laufer P.C.	PMNY	\$ 28,800.00	\$ -	Claim against non-Receivership entity; No liability of Receivership Entity
39	Joint Official Liquidator of Platinum Partners Value Arbitrage Fund, L.P.	PPCOMF	Unliquidated	\$ -	No liability
208	Law Offices of Stephen B. O'Connell, PLLC	PPCOMF	\$ 70,959.69	\$ -	Duplicate of allowed claim (claim 175) (see PPCO Allowed Claims Chart)
1	Montieth & Company LLC	PPCOMF, PPCO(TE), PPCOF, PPCO(BL), PLOM, PPLO(USA)	\$ 123,705.00	\$ -	No Liability
327	Montieth & Company LLC	PPVA	\$ 123,705.00	\$ -	No Liability
34	Navidea Biopharmaceuticals, Inc. (through counsel)	PPCOMF	Unliquidated	\$ -	No liability - No valid basis for liability asserted

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PPCO Denied Claims

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Basis of Objection</u>
268	New Mountain Finance Corporation	PPCOMF	\$ 478,247.00	\$ -	No liability - Unenforceable agreement against Receivership Entities
303	New Mountain Finance Corporation	PPCOMF	\$ 114,500,000.00	\$ -	No liability - No valid basis for liability asserted
214	Platinum Credit Management	PPCOMF, PPCOF, PPCO(TE), PPCO(BL), PPCOFI	\$ 10,000,000.00	\$ -	No liability - No amounts owed
212	Platinum Partners Credit Opportunities Fund International (A), Ltd.	PPCO(BL)	\$ 90,300,469.00	\$ -	Investor claim, not an unsecured claim, to be addressed along with other Interests in accordance with Order at Dkt 554
213	Platinum Partners Credit Opportunities Fund International (A), Ltd.	PPCOFI	\$ 1,715.00	\$ -	Investor claim, not an unsecured claim, to be addressed along with other Interests in accordance with Order at Dkt 554
210	Platinum Partners Credit Opportunities Fund International, Ltd.	PPCO(BL)	\$ 111,322,036.00	\$ -	Investor claim, not an unsecured claim, to be addressed along with other Interests in accordance with Order at Dkt 554
211	Platinum Partners Credit Opportunities Fund International, Ltd.	PPCOFI	\$ 7,336.00	\$ -	Investor claim, not an unsecured claim, to be addressed along with other Interests in accordance with Order at Dkt 554
311	Richard Stadtmauer	PPCOF	\$ 17,124,832.00	\$ -	No liability; Investor claim, not an unsecured claim, to be addressed along with other Interests in accordance with Order at Dkt 554
312	Richard Stadtmauer	PPCOMF	\$ 17,124,832.00	\$ -	No liability; Investor claim, not an unsecured claim, to be addressed along with other Interests in accordance with Order at Dkt 554
309	Saberin Systems LLC	PMNY	\$ 20,398.87	\$ -	Claim against non-Receivership entity; No liability of Receivership Entity
310	Saberin Systems LLC	PP	\$ 20,398.87	\$ -	Claim against non-Receivership entity; No liability of Receivership Entity
25	Wilkinson Technologies Ltd	PCM, PPCOMF, PPCO(TE), PPCOF, PPCO(BL), PLOM, PPLO(USA)	\$ 630,309.00	\$ -	Insufficient documentation - No support for claim provided

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PPCO Denied Claims

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Basis of Objection</u>
43	WilmerHale	PPCOMF	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)
44	WilmerHale	PPCOF	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)
47	WilmerHale	PPCOFI	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)
48	WilmerHale	PPCO(TE)	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)
49	WilmerHale	PPCO(BL)	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)
51	WilmerHale	PPCOFI	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)

Schedule F

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PPCO Settled Claims

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Allowed Claim - Secured or Unsecured</u>
60	Bankers Consecro Life Insurance Company	PPCO(BL)	Unliquidated	\$ -	N/A	N/A
61	Bankers Consecro Life Insurance Company	PPCO(TE)	Unliquidated	\$ -	N/A	N/A
62	Bankers Consecro Life Insurance Company	PPCOFI(A)	Unliquidated	\$ -	N/A	N/A
63	Bankers Consecro Life Insurance Company	PPCOFI	Unliquidated	\$ -	N/A	N/A
64	Bankers Consecro Life Insurance Company	PPCOF	Unliquidated	\$ -	N/A	N/A
65	Bankers Consecro Life Insurance Company	PPCOMF	Unliquidated	\$ 1,000,000.00	PPCOMF	Unsecured
37	Black Elk Energy Offshore Operations, LLC Litigation Trust	PPCOMF	\$ 24,600,584.31	\$ 24,600,584.31	PPCOMF	Unsecured
41	Black Elk Energy Offshore Operations, LLC Litigation Trust	PPCOMF	\$ 24,600,584.31	\$ -	N/A	N/A
197	Chaim Lunger	PPLOMF, CS, PPVA	\$ 450,000.00	\$ -	N/A	N/A
334	Forshey Prostok LLP	PPCOMF	\$ 133,417.27	\$ 133,417.27	PPCOMF	Unsecured
237	Fuzion Analytics, Inc.	PCM	Unliquidated	\$ -	N/A	N/A
239	Fuzion Analytics, Inc.	PPCO(TE)	Unliquidated	\$ -	N/A	N/A
240	Fuzion Analytics, Inc.	PPCOFI(A)	Unliquidated	\$ -	N/A	N/A
241	Fuzion Analytics, Inc.	PPCOFI	Unliquidated	\$ -	N/A	N/A
242	Fuzion Analytics, Inc.	PPCOF	Unliquidated	\$ -	N/A	N/A
243	Fuzion Analytics, Inc.	PPCOMF	Unliquidated	\$ -	N/A	N/A
244	Fuzion Analytics, Inc.	PPCO(BL)	Unliquidated	\$ -	N/A	N/A

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PPCO Settled Claims

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Allowed Claim - Secured or Unsecured</u>
328	Greehey & Company, Ltd. and Dynamic Resources LLC	PPCOMF	\$ 31,771,106.00	\$ -	N/A	N/A
6	Heartland Bank	PPCOMF	\$ 7,266,326.00	Satisfied	N/A	N/A
233	Joint Official Liquidator of Platinum Partners Value Arbitrage Fund, L.P.	PLTG	\$ 409,287.02	\$ -	N/A	N/A
234	Joint Official Liquidator of Platinum Partners Value Arbitrage Fund, L.P.	PPCOMF	\$ 409,287.02	\$ 212,171.21	PPCOMF	Unsecured
333	Maples & Calder	PPCOMF	\$ 42,636.81	\$ 42,636.81	PPCOMF	Unsecured
144	Pee & En LLC	PPCOMF, CS, PPVA	\$ 1,500,000.00	\$ -	N/A	N/A
199	Purchase of Stock LLC	PPLOMF, CS, PPVA	\$ 3,000,000.00	\$ -	N/A	N/A
100	Schafer and Weiner, PLLC	PPCOMF	\$ 222,599.10	\$ -	N/A	N/A
247	Senior Health Insurance Company of Pennsylvania	PCM	Unliquidated	Satisfied	N/A	N/A
249	Senior Health Insurance Company of Pennsylvania	PPCO(TE)	Unliquidated	Satisfied	N/A	N/A
253	Senior Health Insurance Company of Pennsylvania	PPCOFI(A)	Unliquidated	Satisfied	N/A	N/A
254	Senior Health Insurance Company of Pennsylvania	PPCOFI	Unliquidated	Satisfied	N/A	N/A
255	Senior Health Insurance Company of Pennsylvania	PPCOF	Unliquidated	Satisfied	N/A	N/A
256	Senior Health Insurance Company of Pennsylvania	PPCOMF	Unliquidated	Satisfied	N/A	N/A
326	Senior Health Insurance Company of Pennsylvania	PPCO(BL)	Unliquidated	Satisfied	N/A	N/A
55	Soloman Harris	PPCOMF	\$ 63,121.52	\$ 43,121.52	PPCOMF	Unsecured
176	Sterling Valuation Group, Inc.	PPCOFI	\$ 65,000,000.00	\$ -	N/A	N/A

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
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PPCO Settled Claims

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Allowed Claim - Secured or Unsecured</u>
177	Sterling Valuation Group, Inc.	PPCOFI(A)	\$ 65,000,000.00	\$ -	N/A	N/A
178	Sterling Valuation Group, Inc.	PPCO(BL)	\$ 65,000,000.00	\$ -	N/A	N/A
179	Sterling Valuation Group, Inc.	PPCOMF	\$ 65,000,000.00	\$ -	N/A	N/A
182	Sterling Valuation Group, Inc.	PPCOF	\$ 65,000,000.00	\$ -	N/A	N/A
183	Sterling Valuation Group, Inc.	PPCO(TE)	\$ 65,000,000.00	\$ -	N/A	N/A
219	Sterling Valuation Group, Inc.	PPCOF	\$ 65,000,000.00	\$ -	N/A	N/A
220	Sterling Valuation Group, Inc.	PPCO(BL)	\$ 65,000,000.00	\$ -	N/A	N/A
221	Sterling Valuation Group, Inc.	PPCOFI	\$ 65,000,000.00	\$ -	N/A	N/A
222	Sterling Valuation Group, Inc.	PPCOFI(A)	\$ 65,000,000.00	\$ -	N/A	N/A
223	Sterling Valuation Group, Inc.	PPCO(TE)	\$ 65,000,000.00	\$ -	N/A	N/A
224	Sterling Valuation Group, Inc.	PPCOMF	\$ 65,000,000.00	\$ -	N/A	N/A
128	Washington National Insurance Company	PPCO(BL)	\$ 180,000,000.00	\$ -	N/A	N/A
129	Washington National Insurance Company	PPCO(TE)	\$ 180,000,000.00	\$ -	N/A	N/A
130	Washington National Insurance Company	PPCOFI(A)	\$ 180,000,000.00	\$ -	N/A	N/A
131	Washington National Insurance Company	PPCOFI	\$ 180,000,000.00	\$ -	N/A	N/A
132	Washington National Insurance Company	PPCOF	\$ 180,000,000.00	\$ -	N/A	N/A
133	Washington National Insurance Company	PPCOMF	\$ 180,000,000.00	\$ -	N/A	N/A

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PPCO Settled Claims

<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Allowed Claim - Secured or Unsecured</u>
331	Western Pocahontas Properties Limited Partnerships	PPCOMF	\$ 126,919.51	\$ -	N/A	N/A
332	Western Pocahontas Properties Limited Partnerships	LCEH	\$ 126,919.51	\$ -	N/A	N/A
198	William Korn	PPLOMF, CS, PPVA	\$ 1,200,000.00	\$ -	N/A	N/A

Schedule G

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

PPLO Allowed Claims
(allowed or allowed in-part)

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed - Secured or Unsecured</u>	<u>Basis for Partial Allowance</u>
122	Guidepost Solutions LLC	PPLOMF	\$ 274,428.57	\$ 4,363.65	PPLOMF	Unsecured	Unsecured	Allowed amount represents PPLO estimated share of claim
10	Law Firm of Robert A Weiner	PLOM	\$ 24,538.00	\$ 24,538.00	PPLOMF	Unsecured	Unsecured	N/A
16	Raab, Sturm & Ganchrow, LLP.	PLOM, PMNY	\$ 186,046.00	\$ 186,046.00	PPLOMF	Unsecured	Unsecured	N/A
2	Walkers	PPLO(USA)	\$ 10,028.00	\$ 10,028.00	PPLOMF	Unsecured	Unsecured	N/A

Schedule H

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

PPLO Insider Indemnification Claims
(allowed in-part or disallowed)

1 of 1

<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed - Secured or Unsecured</u>	<u>Basis of Objection</u>
231	Daniel Small	PPLOMF	\$ 2,861,533.15	\$ 45,500.84	PPLOMF	Unsecured	Unsecured	PPLO estimated share of asserted claim
232	Daniel Small	PPLO(USA)	\$ 2,861,533.15	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 231)
289	David Levy	PPLO(USA)	\$ 8,700,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 290)
290	David Levy	PPLOMF	\$ 8,700,000.00	\$ 138,337.49	PPLOMF	Unsecured	Unsecured	PPLO estimated share of asserted claim
321	Wilson Sonsini Goodrich & Rosati	PPLOMF	\$ 8,700,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 290)
322	Wilson Sonsini Goodrich & Rosati	PPLO(USA)	\$ 8,700,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Claim 290)
314	Wilson Sonsini Goodrich & Rosati	PLOM	\$ 8,700,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (See David Levy Claim 290)

Schedule I

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

PPLO Employee Claims
(allowed in-part or disallowed)

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
235	Adam Brenner	PPLOMF	\$ 3,251,057.00	\$ 376,000.00	PPLOMF	Unsecured	Unsecured	Allowed Amount represents PPLO estimated share of asserted bonus; No liability for remainder of claim
266	Ari Glass	PPLO(USA)	\$ 33,036.96	\$ -	N/A	Unsecured	N/A	Investor claim, not an unsecured claim, to be addressed along with other Interests in accordance with Order at Dkt 554
229	Daniel Small	PLOM	\$ 9,566,326.90	\$ -	PPLOMF	Unsecured	Unsecured	No liability
263	David Bodner	PLOM	\$ 406,606.17	\$ -	N/A	Unsecured	N/A	No liability - No amounts owed
293	David Levy	PLOM	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
300	David Levy	PPLO(USA)	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
301	David Levy	PPLOMF	\$ 1,700,000.00	\$ -	N/A	Unsecured	N/A	Insufficient documentation - No support for claim provided
15	Eli Bensinger	PLOM, PMNY	\$ 56,005.88	\$ -	N/A	Unsecured	N/A	No Liability - Claim for compensation as an employee of non-Receivership entity
56	George J Duch	PLOM	\$ 72,000.00	\$ 1,145.00	PPLOMF	Unsecured	Unsecured	No liability for 2016 asserted bonus. Allowed amount represents PPLO estimated share of 2015 asserted bonus
281	Greg Brenner	PPLOMF	\$ 3,494,742.00	\$ 376,000.00	PPLOMF	Unsecured	Unsecured	Allowed amount represents PPLO estimated share of asserted bonus; No liability for remainder of Claim
302	Mikal Patel	PPLOMF	\$ 3,494,742.00	\$ 376,000.00	PPLOMF	Unsecured	Unsecured	Allowed amount represents PPLO estimated share of asserted bonus; No liability for remainder of Claim
323	Nicholas Marzella	PLOM	\$ -	\$ -	N/A	Unsecured	N/A	No liability for asserted claim for 2016 bonus. Not an employee of Receivership Entity prior to 2016
104	Suzanne Horowitz	PLOM	\$ 375,000.00	\$ 1,988.00	PPLOMF	Unsecured	Unsecured	No liability for 2016 asserted bonus. Allowed amount represents PPLO estimated share of 2015 asserted bonus

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PPLO Employee Claims
(allowed in-part or disallowed)

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<u>Claim No.</u>	<u>Claimant</u>	<u>Entity Claim Asserted Against</u>	<u>Total Asserted Claim Amount</u>	<u>Allowed Claim Amount</u>	<u>Allowed Claim - Receivership Entity</u>	<u>Asserted Secured or Unsecured</u>	<u>Allowed Claim - Secured or Unsecured</u>	<u>Basis of Objection</u>
120	Suzanne Horowitz	PPLO(USA)	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 104)
121	Suzanne Horowitz	PPLOMF	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 104)
159	Suzanne Horowitz	PPLO(USA)	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 104)
162	Suzanne Horowitz	PPLOMF	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 104)
163	Suzanne Horowitz	PLOM	\$ 375,000.00	\$ -	N/A	Unsecured	N/A	Duplicate of partially allowed claim (Claim 104)

Schedule J

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
 SEC v. Platinum Management (NY) LLC, et al, Case No. 16-CV-6848 (BMC)

PPLO Denied Claims

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Claim No.	Claimant	Entity Claim Asserted Against	Total Asserted Claim Amount	Allowed Claim Amount	Basis of Objection
70	Atlantic Specialty Insurance Company	PLOM	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
78	Atlantic Specialty Insurance Company	PLOM	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
84	Atlantic Specialty Insurance Company	PPLO(USA)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
89	Atlantic Specialty Insurance Company	PPLO(USA)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
90	Atlantic Specialty Insurance Company	PPLOMF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
91	Atlantic Specialty Insurance Company	PPLOMF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
139	Berkley Insurance Company	PPLO(USA)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
143	Berkley Insurance Company	PLOM	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
146	Berkley Insurance Company	PPLOMF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
148	Berkley Insurance Company	PLOM	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
169	Berkley Insurance Company	PPLOMF	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim
185	Berkley Insurance Company	PPLO(USA)	\$ 5,000,000.00	\$ -	No liability - Insurance proceeds paid to non-Receivership covered parties; duplicate claim

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
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PPLO Denied Claims

2 of 2

Claim No.	Claimant	Entity Claim Asserted Against	Total Asserted Claim Amount	Allowed Claim Amount	Basis of Objection
105	Guidepost Solutions LLC	PLOM	\$ 274,428.57	\$ -	Duplicate of partially allowed claim (Claim 122) (see PPLO Allowed Claims Chart)
119	Guidepost Solutions LLC	PPLO(USA)	\$ 274,428.57	\$ -	Duplicate of partially allowed claim (Claim 122) (see PPLO Allowed Claims Chart)
40	Joint Official Liquidator of Platinum Partners Value Arbitrage Fund, L.P.	PPLOMF	Unliquidated	\$ -	No liability
304	New Mountain Finance Corporation	PPLOMF	Unliquidated	\$ -	No liability - No valid basis for liability asserted
45	WilmerHale	PLOM	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)
46	WilmerHale	PPLOMF	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)
50	WilmerHale	PPLO(USA)	\$ 28,669.40	\$ -	Post-Receivership legal expense for unknown party (no indemnification right)

Schedule K

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF NEW YORK
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PPLO Settled Claims

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Claim No.	Claimant	Entity Claim Asserted Against	Total Asserted Claim Amount	Allowed Claim Amount	Allowed Claim - Receivership Entity	Allowed Claim - Secured or Unsecured
59	Bankers Conesco Life Insurance Company	PLOM	Unliquidated	\$ -	N/A	N/A
66	Bankers Conesco Life Insurance Company	PPLO(USA)	Unliquidated	\$ -	N/A	N/A
67	Bankers Conesco Life Insurance Company	PPLOMF	Unliquidated	\$ -	N/A	N/A
38	Black Elk Energy Offshore Operations, LLC Litigation Trust	PPLOMF	\$ 5,000,000.00	\$ 5,000,000.00	PPLOMF	Unsecured
42	Black Elk Energy Offshore Operations, LLC Litigation Trust	PPLOMF	\$ 5,000,000.00	\$ -	N/A	N/A
238	Fuzion Analytics, Inc.	PLOM	Unliquidated	\$ -	N/A	N/A
245	Fuzion Analytics, Inc.	PPLO(USA)	Unliquidated	\$ -	N/A	N/A
246	Fuzion Analytics, Inc.	PPLOMF	Unliquidated	\$ -	N/A	N/A
248	Senior Health Insurance Company of Pennsylvania	PLOM	Unliquidated	Satisfied	N/A	N/A
257	Senior Health Insurance Company of Pennsylvania	PPLO(USA)	Unliquidated	Satisfied	N/A	N/A
258	Senior Health Insurance Company of Pennsylvania	PPLOMF	Unliquidated	Satisfied	N/A	N/A
180	Sterling Valuation Group, Inc.	PPLOMF	\$ 65,000,000.00	\$ -	N/A	N/A
181	Sterling Valuation Group, Inc.	PLOM	\$ 65,000,000.00	\$ -	N/A	N/A
184	Sterling Valuation Group, Inc.	PPLO(USA)	\$ 65,000,000.00	\$ -	N/A	N/A
218	Sterling Valuation Group, Inc.	PLOM	\$ 65,000,000.00	\$ -	N/A	N/A
225	Sterling Valuation Group, Inc.	PPLOMF	\$ 65,000,000.00	\$ -	N/A	N/A

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PPLO Settled Claims

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Claim No.	Claimant	Entity Claim Asserted Against	Total Asserted Claim Amount	Allowed Claim Amount	Allowed Claim - Receivership Entity	Allowed Claim - Secured or Unsecured
226	Sterling Valuation Group, Inc.	PPLOMF	\$ 65,000,000.00	\$ -	N/A	N/A
127	Washington National Insurance Company	PLOM	\$ 180,000,000.00	\$ -	N/A	N/A
134	Washington National Insurance Company	PPLO(USA)	\$ 180,000,000.00	\$ -	N/A	N/A
135	Washington National Insurance Company	PPLOMF	\$ 180,000,000.00	\$ -	N/A	N/A